NEWS

For Immediate Release

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YCharts Launches Risk & Proposal Manager for Financial Advisors

New feature connects risk questionnaires, risk profiles, proposals, and ongoing client monitoring in one platform

CHICAGO—[October 7th]—YCharts, a leading investment research and client engagement platform trusted by thousands of financial professionals across North America, today announced the availability of Risk & Proposal Manager, a feature that puts risk assessment, proposals, and ongoing client management under one platform.

For most advisors, assessing a client's risk tolerance, building a proposal, and monitoring the portfolio over time means moving between multiple tools. Risk & Proposal Manager connects those steps within YCharts, from the first conversation with a prospect through ongoing client reviews. With client and portfolio context alongside risk assessments and proposals, advisors can turn what they learn about a prospect into a recommendation, monitor whether the portfolio stays aligned, and easily prepare for the next conversation.

A TRANSPARENT FRAMEWORK BUILT AROUND THE ADVISOR

"We built YCharts around helping advisors better serve their clients," said Sean Brown, President and CEO of YCharts. "Risk & Proposal Manager extends that commitment with a transparent approach to risk conversations that advisors can tailor per client. By connecting that approach to proposals and ongoing portfolio monitoring, we're helping advisors communicate their recommendations with confidence and spend more time on what truly matters, building client relationships and growing their business."

The three main components of Risk & Proposal Manager:

- **Risk tolerance questionnaire:** Advisors can personalize the questionnaire before sending it directly to clients. YCharts includes standard and extended versions out of the box, and advisors can build their own to fit how their practice talks about risk.
- **Risk profiles:** Advisors can define frameworks that map each client's risk tolerance to asset allocation targets and model portfolios.
- **Client management:** The component includes a book-of-business dashboard that tracks assets under management, household count, and concentration; automatic alerts when a portfolio drifts

from its client's risk target; AI-generated client insights; and firm-level oversight for home office users. Advisors can bulk import client accounts, households, and portfolios from connected platforms, such as Black Diamond, Orion, Redtail, Schwab, and LPL ClientWorks.

Full functionality listed here:

Capability	What It Covers
Integration-Based Book Import	Bulk import client accounts, households, and portfolios directly from connected platforms
Book-of-Business Dashboard	AUM and its growth, household count, account activity, concentration, and change over time, all in one view
Unified Client Workspace	Performance, holdings, risk context, notes, and recent activity for each client, organized by household
Household & Account Structure	Book of business organized by Household, Registration (Client, Trust, Joint), and Account, reflecting how advisors manage client relationships
Risk Alignment Monitoring	Automatically surfaces portfolio drift against each client's intended risk target, or any other metric tied to a risk profile
Risk Profiles	Customizable, transparent risk frameworks that map client risk tolerance to asset allocation targets and model portfolios
Questionnaires	Structured risk tolerance questionnaires connected directly to the client record and portfolio
Relationship Activity Tracking	Running log of client-level changes, updates, and interactions
AI-Powered Client Insights	Concentration risk, performance summaries, and talking points generated from client data
Firm-Level Oversight	Aggregate book-of-business visibility across advisor books for home office users

Watch a short walkthrough of how Risk & Proposal Manager works here.

ABOUT YCHARTS

YCharts is a top-ranked investment research and client engagement platform that enables financial advisors and asset managers to make smarter investment decisions and better communicate with clients. Trusted by over 15,000 financial professionals and recognized as the Investment Research market leader by Kitces research, YCharts delivers intuitive tools for security research, portfolio construction, AI-enabled proposals, client communication, and market monitoring. YCharts earned a 2025 Wealthie Award for its AI Chat feature and has been recognized for the past decade on the Inc. 5000 list of America's fastest-growing private companies. Learn more at www.ycharts.com.

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